

2622
Docket No. RID-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Ray Ridley

Serial No.: 09/698,450

Filed: October 27, 2000

For: AUDIO SOUND QUALITY
ENHANCEMENT APPARATUS

) Group Art Unit:

) Examiner:

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

On: 1/22/01
Date

Stephanie Davis
Stephanie Davis

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

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I

This statement is filed pursuant to:

- (x) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

- () 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$240), or a certification according to 37 C.F.R. § 1.97 (e).

- () 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17 (i) (1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due

- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$240).

- () The petition fee specified in 37 C.F.R. § 1.17(i) (1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130.00).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-0612. An additional copy of this page is enclosed.

III

Pursuant to 37 C.F.R. § 1.97(e) , I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

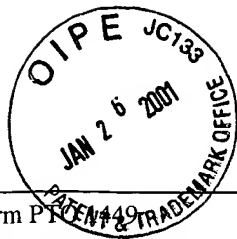
Respectfully submitted,
SIERRA PATENT GROUP, LTD.



Kenneth D'Alessandro
Reg. No.: 29,144

Dated: January 19, 2001

Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
(775) 586-9500



Form PPT/449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. RID-001		Serial No. 09/698,450		
Information Disclosure Statement by Applicant				Applicant: Ray Ridley				
(Use several sheets if necessary)				Filed: 10/27/00		Group:		
U.S. Patent Documents								
Init.		Document No.	Date	Name	Class	Subclass	Filing Date	
		4,320,349	03/16/82	Freers et al.	330	66	12/13/79	
		4,403,199	09/06/83	Blackmer	330	278	03/26/81	
		4,625,328	11/25/86	Freadman	381	111	04/09/84	
		4,885,477	12/05/89	Bird et al.	307	296.8	06/06/88	
		5,063,342	11/05/91	Hughes et al.	323	315	08/31/89	
		5,331,291	07/19/94	D'Agostino et al.	330	289	12/17/92	
		5,483,199	01/09/96	Knudsen	330	289	02/21/94	
		5,563,760	10/08/96	Lowis et al.	361	103	09/16/97	
		5,592,363	01/07/97	Atarashi et al.	361	689	09/29/95	
		5,635,874	06/03/97	Perrot	330	259	02/29/93	
		5,770,973	06/23/98	Fujisawa et al.	330	289	09/11/96	
		5,815,040	09/29/98	Barbetta	330	264	12/30/96	
		5,869,892	02/09/99	Ohtsubo et al.	257	713	11/12/96	
		5,873,053	02/16/99	Pricer et al.	702	130	04/08/97	
		5,898,908	04/27/99	Griffin et al.	455	127	10/09/96	
		5,986,508	11/16/99	Nevin	330	289	08/26/96	
		6,018,270	01/25/00	Stuebing et al.	330	278	06/27/97	
		6,100,199	08/08/00	Joshi et al.	438	694		
		6,100,759	08/08/00	Sirna et al.	330	252	02/22/99	
Foreign Documents								
Translation								
Init.		Document No.	Date	Country	Class	Subclass	Yes	No
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)								
Examiner					Date Considered			
Examiner: Initial if citation considered, whether or not citation is in conference with MPEP 609; Draw line through citation if not conformance and not considered. Include a copy of this form with the next communication to applicant.								